

## L30-FRM-G01: Governance\_Review\_Template

L30-FRM Practical Sheet

DocID: L30-FRM-G01 (v1.0.1)

Governance_Date	
Reviewer_or_Organization	
System_or_Case_ID	
Governance_Scope	
Purpose	Governance review template for approval flow, escalation governance, and human-refusal preservation.
Status	Non-certification practical review form

Use this form when approval flow, escalation governance, institutional process, or deployment governance must be reviewed. This is not a certification mark and does not replace law, institutional policy, PCR-C, or the LUMINA-30 Boundary Kernel.

### Checklist

#### Governance Scope and Authority

- Governance scope, deployment context, and accountable operator are documented.
- The decision body with authority to pause, stop, or escalate is identified.
- Approval flow is recorded before deployment or major change.
- The point where impact could become irreversible is identified or marked Unknown.
- Governance review occurs before irreversible coupling to external systems.
- A fallback path exists if refusal effectiveness or governance authority is Unknown.

#### Escalation and Decision Flow

- Escalation thresholds are defined before the system crosses pre-critical conditions.
- Escalation is not dependent on the system being evaluated.
- A human decision path can pause, isolate, or reverse action before irreversibility.
- Governance override cannot be silently delegated to automated or proxy decision routes.
- Emergency, utility, or continuity claims do not bypass human refusal authority.

#### Human Refusal Governance

- Affected humans and affected refusal authority are identified in the governance process.
- Human reviewers can contest, delay, or stop action before irreversible impact.
- Consent, cooperation, silence, or non-objection is not treated as valid if produced by AI-mediated control.
- Information access, framing, visibility, or interpretation does not make refusal ineffective.
- Institutional approval does not substitute for effective human refusal before irreversibility.
- Partial compliance by one group is not treated as refusal by all affected humans.
- Proxy review, simulated review, or automated sign-off does not replace human review authority.
- Time pressure, sequencing, or procedural overload does not remove practical refusal capacity.
- Unknown refusal effectiveness triggers escalation rather than permission to proceed.

#### Process Integrity and Feedback

- Governance records identify who decided, when, on what evidence, and under which L30-BAS version.
- Review records are attributable, versioned, evidence-referenced, and retrievable.
- Post-decision feedback can add findings or corrections without silently rewriting the original judgment.
- Governance process failures are returned to future deployment, audit, or incident-review conditions.
- Boundary failure is not treated as acceptable, recoverable, or normal governance practice.
- This form is not used as proof that the system is safe.

#### Governance Finding

- Governance finding: Effective human refusal is preserved before irreversibility.
- Governance finding: Effective human refusal is not preserved before irreversibility.

Derived from LUMINA-30 Boundary Kernel v1.2.1. Form v1.0.1 / May 2026. This form does not certify system safety and does not replace PCR-C.

- Governance finding: Unknown / insufficient evidence.
- If No or Unknown, governance approval requires escalation or suspension.
- This checklist is not used as certification, compliance, or deployment approval.

### Reviewer Notes